

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel Kuzmich *et al.*

Serial No.: 10/739,208

Filed: December 18, 2003

Docket: 9/272

For: GLUCOCORTICOID MIMETICS, METHODS OF MAKING THEM,
PHARMACEUTICAL COMPOSITIONS, AND USES THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Dear Sir:

Applicants hereby petition under 37 C.F.R. § 1.48(a) to correct the inventorship of the above-identified application by adding the following inventor:

Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Thomas
Middle Name:	Martin
Family Name::	KIRRANE
Name Suffix:	Jr.
City of Residence::	Southbury
State or Province of Residence::	CT
Country of Residence::	US
Street of mailing address::	900 Ridgebury Road
City of mailing address::	Ridgefield
State or Province of mailing address::	CT
Country of mailing address::	US
Postal or Zip Code of mailing address::	06877

Thomas Martin Kirrane Jr. was erroneously omitted from the names of the inventors when the patent application was filed. As required under 37 C.F.R. § 1.48(a)(2), attached is a statement from Thomas Martin Kirrane Jr. that the error in the inventorship occurred without any deceptive intention on his part.

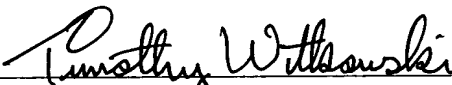
The processing fee for this Petition is \$130.00 under 37 C.F.R. § 1.17(i). The Commissioner is hereby authorized to charge this and any other fee required for this Petition or any other paper accompanying this Petition to Deposit Account No. 02-2955.

As required under 37 C.F.R. § 1.48(a)(4), attached herewith is a new declaration setting forth the correct inventorship of the above-identified application.

As required under 37 C.F.R. § 1.48(a)(5), attached herewith is a Communication Establishing Right of Assignee to Take Action Under 37 C.F.R. § 3.73(b).

Applicants respectfully request correction of the inventorship as indicated above and a notification that such correction has been made. Please advise me immediately if you require anything further.

Certificate of Mailing Under 37 C.F.R. § 1.8(a)
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 13, 2005.




Timothy X. Witkowski
Registration No. 40,232

5-13-2005

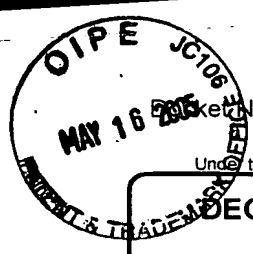
Dated

Respectfully submitted,



Timothy X. Witkowski
Registration No. 40,232
Attorney for Applicants

BOEHRINGER INGELHEIM CORPORATION
Patent Department
900 Ridgebury Road
P.O. Box 368
Ridgefield, CT 06877
Telephone: (203) 798-4310
Facsimile: (203) 798-4408



Sheet No.: 9/272

PTO/SB/01A (09-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	Glococorticoid Mimetics, Methods of Making Them, Pharmaceutical Compositions and Uses Thereof
---------------------------	---

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 10/739,208, filed on December 18, 2003,
- ☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)	
Inventor one:	Daniel KUZMICH
Signature: <u>Daniel Kuzmich</u>	Citizen of: <u>US</u>
Inventor two:	Thomas Wai-Ho LEE
Signature: <u>Thomas Wai-Ho Lee</u>	Citizen of: <u>US</u>
Inventor three:	John Robert PROUDFOOT
Signature: <u>John Robert Proudfoot</u>	Citizen of: <u>Ireland</u>
Inventor four:	John Robinson REGAN
Signature: <u>John Robinson Regan</u>	Citizen of: <u>US</u>
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on <u>2</u> additional form(s) attached hereto.	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>2</u> of <u>3</u>
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
David S.		THOMSON	
Inventor's Signature <i>David S Thomson</i>		Date <i>4/22/05</i>	
Residence: City	State	Country	Citizenship United Kingdom
Mailing Address			
Mailing Address			
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Abdelhakim		HAMMACH	
Inventor's Signature <i>Abdelhakim</i>		Date <i>4/25/2005</i>	
Residence: City	State	Country	Citizenship US
Mailing Address			
Mailing Address			
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mark Stephen		RALPH	
Inventor's Signature <i>Mark Stephen Ralph</i>		Date <i>04/22/2005</i>	
Residence: City	State	Country	Citizenship US
Mailing Address			
Mailing Address			
City	State	Zip	Country

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Docket No. 9/272

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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental SheetPage 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Renee		ZINDELL	
Inventor's Signature <i>R Zindell</i>		Date <i>4/25/05</i>	
Residence: City	State	Country	Citizenship US
Mailing Address			
Mailing Address			
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Younes		BEKKALI	
Inventor's Signature <i>Younes Bekkali</i>		Date <i>4/21/05</i>	
Residence: City	State	Country	Citizenship Canada
Mailing Address			
Mailing Address			
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Thomas Martin		KIRRANE, Jr.	
Inventor's Signature <i>Thomas Martin KIRRANE, Jr.</i>		Date <i>4/21/05</i>	
Residence: City	State	Country	Citizenship US
Mailing Address			
Mailing Address			
City	State	Zip	Country

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Docket No. 9/272



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Applicants: Daniel Kuzmich *et al.*

Serial No.: 10/739,208

Filed: December 18, 2003

Docket: 9/272

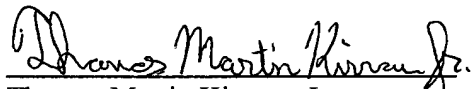
For: GLUCOCORTICOID MIMETICS, METHODS OF MAKING THEM,
PHARMACEUTICAL COMPOSITIONS, AND USES THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF THOMAS MARTIN KIRRANE JR.

Dear Sir:

During a recent review of the inventorship of the above-identified application, it was discovered that I was erroneously omitted as an inventor. This inventorship error occurred without any deceptive intention on my part.



Thomas Martin Kirrane, Jr.

Date: 4/15/2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel Kuzmich *et al.*

Serial No.: 10/739,208

Filed: December 18, 2003

Docket: 9/272

For: GLUCOCORTICOID MIMETICS, METHODS OF MAKING THEM,
PHARMACEUTICAL COMPOSITIONS, AND USES THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**COMMUNICATION ESTABLISHING RIGHT OF ASSIGNEE
TO TAKE ACTION UNDER 37 C.F.R. § 3.73(b)**

Dear Sir:

In connection with the attached Petition, applicants herewith establish the right of the assignee under 37 C.F.R. § 3.73(b) to take action in the above application.

Boehringer Ingelheim Pharmaceuticals, Inc. is the Assignee of Record of the entire right, title and interest in and to the above-identified application as set forth in the attached Assignment. The Assignment was submitted for recordation in the U.S. Patent and Trademark Office on May 13, 2005. Applicants have not yet received a recordation notice from the Office.

The undersigned is authorized to act on behalf of the assignee.

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 13, 2005 .

Timothy X. Witkowski
Registration No. 40,232

Dated

Respectfully submitted,

Timothy X. Witkowski
Registration No. 40,232
Attorney for Applicants

BOEHRINGER INGELHEIM CORPORATION
Patent Department
900 Ridgebury Road
P.O. Box 368
Ridgefield, CT 06877
Telephone: (203) 798-4310
Facsimile: (203) 798-4408



Case No.: 9/272

ASSIGNMENT

In consideration of one Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledge, I (We), the undersigned,

Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Thomas
Middle Name:	Martin.
Family Name::	KIRRANE
Name Suffix:	Jr.
City of Residence::	Southbury
State or Province of Residence::	CT
Country of Residence::	US
Street of mailing address::	900 Ridgebury Road
City of mailing address::	Ridgefield
State or Province of mailing address::	CT
Country of mailing address::	US
Postal or Zip Code of mailing address::	06877

hereby sell, assign and transfer to Boehringer Ingelheim Pharmaceuticals, Inc., a corporation of the State of Delaware, having a place of business at 900 Ridgebury Road, P. O. Box 368, Ridgefield, CT 06877-0368 its successors, assigns and legal representatives, our entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent which is entitled:

Glucocorticoid Mimetics, Methods of Making Them, Pharmaceutical Compositions, and Uses Thereof

which

☐ was executed by me (us) on

☒ was filed on December 18, 2003, and accorded Serial No.: 10/739,208

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States on any of said improvements, and in and to all original and reissued patents which have been or shall be issued in the United States on said improvements;

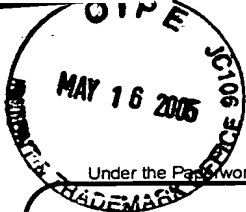
Agree said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the

expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof, generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applicants for patents and all patents on said improvements in said Assignee, its successors, assigns and legal representative; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant mortgage license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

SIGNATURE OF APPLICANT	DATE
<i>Thomas Martin KIRRANE Jr.</i> Thomas Martin. KIRRANE Jr.	4/13/05

ASSIGN.FORM.DOC/LGL/PAT/FRMS/TMP/PAT



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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/739,208
Filing Date	12/18/2003
First Named Inventor	Kuzmich, D., et al
Title	Glucocorticoid Mimetics, Methods of Making Them, Pharmaceutical Compositions and Uses Thereof
Art Unit	1625
Examiner Name	To be assigned
Attorney Docket Number	9/272

I hereby appoint:

☒ Practitioners associated with the Customer Number:

28509

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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OR

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☐ Firm or Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

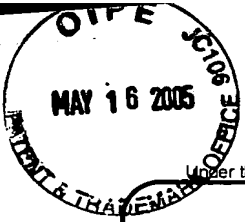
Signature		Date	4-21-05
Name	Daniel KUZMICH	Telephone	203-798-9988
Title and Company			

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 10 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☐ Firm or Individual Name

Address

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State

Zip

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Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Thomas Wai-Ho Lee</i>	Date	4/21/2005
Name	Thomas Wai-Ho LEE	Telephone	203-798-9988
Title and Company			

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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MAY 16 2005

PTO/USPTO OFFICE

PTO/SB/81 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Address

City

State

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Telephone

Fax

I am the:



Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>John Robert Proudfoot</i>	Date	4/21/2005
Name	John Robert PROUDFOOT	Telephone	203-798-9988
Title and Company			

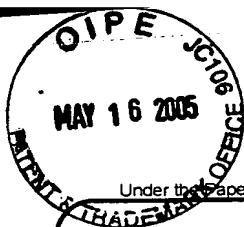
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PTO/SB/81 (09-04)

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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OR



The address associated with Customer Number:

28509

OR

Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:



Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>John Robinson Regan</i>	Date	4-21-05
Name	John Robinson REGAN	Telephone	203-798-9988
Title and Company			

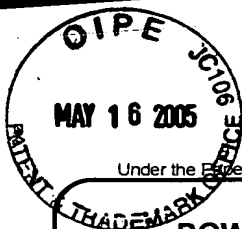
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Application Number	10/739,208
Filing Date	12/18/2003
First Named Inventor	Kuzmich, D., et al
Title	Glucocorticoid Mimetics, Methods of Making Them, Pharmaceutical Compositions and Uses Thereof
Art Unit	1625
Examiner Name	To be assigned
Attorney Docket Number	9/272

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Country					
Telephone		Fax			

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Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature		Date	4/25/2005
Name	Abdelhakim HAMMACH	Telephone	203-798-9988
Title and Company			

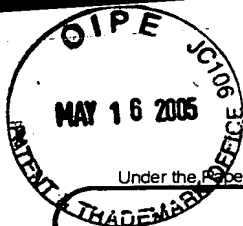
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Application Number	10/739,208
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First Named Inventor	Kuzmich, D., et al
Title	Glucocorticoid Mimetics, Methods of Making Them, Pharmaceutical Compositions and Uses Thereof
Art Unit	1625
Examiner Name	To be assigned
Attorney Docket Number	9/272

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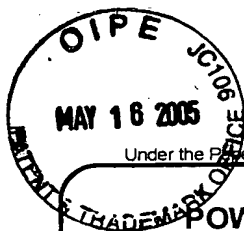
Signature	<i>Mark Stephen Ralph</i>	Date	04/22/2005
Name	Mark Stephen RALPH	Telephone	203-798-9988
Title and Company			

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Application Number	10/739,208
Filing Date	12/18/2003
First Named Inventor	Kuzmich, D., et al
Title	Glycosylated Mimetics, Methods of Making Them, Pharmaceutical Compositions and Uses Thereof
Art Unit	1625
Examiner Name	To be assigned
Attorney Docket Number	9/272

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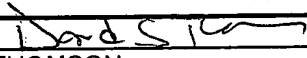
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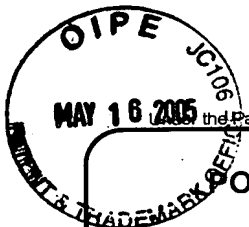
Signature		Date	4/22/05
Name	David S. THOMSON	Telephone	203-798-9988
Title and Company			

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SIGNATURE of Applicant or Assignee of Record

Signature	<i>Renee Zindell</i>	Date	4/25/06
Name	Renee ZINDELL	Telephone	203-798-9988
Title and Company			

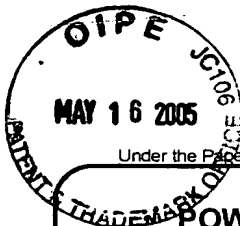
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Signature		Date	4/21/05
Name	Younes BEKKALI	Telephone	203-798-9988
Title and Company			

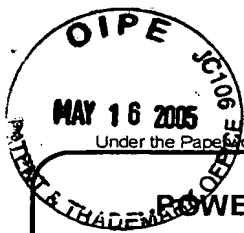
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Signature	<i>Thomas Martin KIRrane Jr.</i>	Date	4/21/05
Name	Thomas Martin KIRrane Jr.	Telephone	203-798-9988
Title and Company			

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